

Personal and Financial Profile

Note: The quality of our advice is dependent upon your accurate and full disclosure of facts, history and intentions. This personal information will not be disclosed without your express permission, except as may be required by law.

TITLE
FIRST NAME
LAST NAME
ADDRESS

CITY
PROVINCE
POSTAL CODE
PREFER MAIL
PREFER CALLS

DATE OF BIRTH
S.I.N.
CITIZENSHIP
LANGUAGE
HOME TEL
HOME FAX
BUSINESS TEL
BUSINESS FAX
HOME E-MAIL
WORK E-MAIL

EMPLOYMENT INFORMATION

EMPLOYER
ADDRESS .

OCCUPATION
DUTIES

FAMILY INFORMATION

MARITAL STATUS
SPOUSE FIRST NAME
LAST NAME
MAIDEN NAME
S.I.N.

DATE OF BIRTH
CITIZENSHIP
DEPENDANTS

IDENTIFICATION

CITIZENSHIP
ID (Driver's licence)

Personal background:

(Education history, work history, marital history)

Personal finances:

RRSP
INVESTMENTS
HOME
COTTAGE
BUSINESS VALUE
INCOME
LOANS
MORTGAGES
LIFE INS
DISABILITY INS
CRITICAL ILLNESS INS

Lifestyle:
(sports history, health history)

Estate plans:

Priorities:
Priorities in the objectives of the client

Priorities for the advisor to handle.

Investment risk tolerance:
% of capital prepared to lose

DATE

ADVISOR

APPLICANT